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# Using meteorological normalisation to detect interventions in air quality time series

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## Abstract

Interventions used to improve air quality are often difficult to detect in air quality time series due to the complex nature of the atmosphere. Meteorological normalisation is a technique which controls for meteorology/weather over time in an air quality time series so intervention exploration (and trend analysis) can be assessed in a robust way. A meteorological normalisation technique, based on the random forest machine learning algorithm was applied to routinely collected observations from two locations where known interventions were imposed on transportation activities which were expected to change ambient pollutant concentrations. The application of progressively stringent limits on the content of sulfur in marine fuels was very clearly represented in ambient sulfur dioxide (SO<sub>2</sub>) monitoring data in Dover, a port city in the South East of England. When the technique was applied to the oxides of nitrogen (NO<sub>x</sub> and NO<sub>2</sub>) time series at London Marylebone Road (a Central London monitoring site located in a complex urban environment), the normalised time series highlighted clear changes in NO<sub>2</sub> and NO<sub>x</sub> which were linked to changes in primary (directly emitted) NO<sub>2</sub> emissions at the location. The clear features in the time series were illuminated by the meteorological normalisation procedure and were not observable in the raw concentration data alone. The lack of a need for specialised inputs, and the efficient handling of collinearity and interaction effects makes the technique flexible and suitable for a range of potential applications for air quality intervention exploration.

*Keywords:*

Air pollution, Data analysis, Management, Machine learning, Random forest

## 1. Introduction

Across all spatial and temporal scales, weather influences concentrations of atmospheric pollutants and in turn ambient air quality (Stull, 1988; Monks et al., 2009). The effects of weather (or meteorology) on air quality are often much greater than intervention or management efforts to control air pollution and therefore intervention events can be very difficult to detect and quantify within an observational record (Anh et al., 1997). Similarly, when considering trends in ambient air pollution, it can be difficult to know whether a change in concentration is due to meteorology or a change in emission source strength. Meteorological variation can therefore frustrate the analysis of trends in different pollutant species. If meteorology is not controlled or accounted for, the changes in pollutant concentrations observed may be contaminated with meteorological variation rather than emission or chemically induced perturbations which can lead to erroneous conclusions concerning the efficacy of air quality management strategies (Libiseller et al., 2005; Wise and Comrie, 2005). This issue is often acknowledged, but infrequently addressed.

Meteorological normalisation is one technique which can be used to control for meteorology over time in air quality time series. The central philosophy of meteorological normalisation is to reduce variability in an air quality time series with statistical modelling. The reduction of variability is achieved by training a model which can explain some of the variation of pollutant concentrations through a number of independent variables. The independent variables used are typically surface-based meteorological observations and time variables which act as proxies for regular emission patterns such as hour of day and season (Derwent et al., 1995). However, in practice, any independent variable which could explain variations in pollutant concentrations could be used. Once the model has been trained and it is found that it can explain an adequate amount of the dependent variable's variation, the model can be used to remove the influence the independent variables have on the dependent variable by sampling and predicting. The time series which results can then be exposed to further exploratory data analysis (EDA) techniques such as formal trend analysis and/or intervention

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exploration (Grange et al., 2018). The normalised time series is in the pollutant’s original units and can be thought of as concentrations in “average” or invariant weather conditions.

There has been some air quality research conducted which uses the idea of change-point analysis to investigate changes in atmospheric pollutant concentrations (for example Carslaw et al., 2006; Carslaw and Carslaw, 2007). Methods such as these rely on regime changes where a time series abruptly shifts from one regime to another (Lyubchich et al., 2013). In the air quality domain, this rarely happens, since changes are usually nuanced and occur progressively with much variability which makes the generality of this approach for investigating intervention efforts poor. Meteorological normalisation is potentially a more general approach which enables its use in a greater range of applications.

Atmospheric processes are complex, non-linear, and observations commonly record collinearity with other observations. These attributes make the process of statistical modelling very challenging, especially so with parametric methods (Barmpadimos et al., 2011). With the rise of machine learning algorithms, these attributes can be much more easily accommodated due to the non-parametric and robust nature of these techniques (Friedman et al., 2001). The meteorological normalisation technique used here uses random forest, an ensemble decision tree machine learning method as the modelling algorithm.

Random forest has been described very well and in depth elsewhere (see Breiman, 2001; Friedman et al., 2001; Tong et al., 2003; Ziegler and König, 2013; Jones and Linder, 2015; Grange et al., 2018). However in brief, a single decision tree is formed from a series of binary splits which results in homologous or “pure” groups. The splitting process is recursive which means splitting occurs until purity is achieved if the tree is allowed to grow to its maximum depth. Decision trees make no assumptions on the input data structure (they are non-parametric), allow for interaction and collinearity among variables, and will ignore variables which are irrelevant to the dependant variable (Ziegler and König, 2013). Decision trees are fast to train, fast to make predictions, and are conceptually simple to understand. However, they suffer heavily from overfitting, an issue where the model represents the training set well, but does not generalise to sets which were not used for training (Jones and Linder, 2015). Using a model which predicts pollutant concentrations and suffers from overfitting

would result in the model being contaminated with noise from the training set and unreliable predictions would impede analyses.

Random forest is an algorithm which controls for the tendency of decision trees to overfit. The algorithm achieves this by sampling (with replacement) the training set with a process called bagging (bootstrap aggregation) ([Breiman, 1996](#)). In modern usage, sampling of the independent variables is usually done during bagging too. Bagging results in a new, sampled set called out-of-bag (OOB) data. A decision tree is then grown on the OOB data. The bagging-then-tree growth is repeated, generally a few hundred times. Because OOB data is sampled, all the decision trees are grown on differing observations and independent variables which leads to a “forest” of decorrelated trees. After training, all the individual trees within the forest are used to predict, but their predictions are aggregated as a mean (or the mode for categorical dependent variables) and that forms the single ensemble prediction for the model.

The meteorological normalisation technique is pragmatic in respect to the input variables required for many common applications. Generally, routinely accessible surface meteorological variables are very effective for the process and specialised or obscure variables are generally not necessary for the technique to be applied. Although traffic counts, upper air data, and outputs from weather models will usually strengthen a model’s explanatory power, the existence or access to such variables is not a prerequisite, an attribute which is very useful for most situations where such inputs are not available. For pollutants which are primarily controlled by regional scale processes, most notably particulate matter (PM) and ozone ( $O_3$ ), additional variables such as boundary layer height, air mass cluster, or back trajectory information would however be beneficial to include if possible and examples can be found elsewhere, for example [Grange et al. \(2018\)](#).

The temporal variables used as independent variables in the meteorological normalisation models: Julian day, weekday, and hour of year are included not for their direct influence on atmospheric concentrations, but because they act as proxies for cyclical emission patterns. Hour of day for example offers a term to explain emissions with a diurnal cycle such as traffic-related rush hour emissions or domestic heating phases, while Julian day is a seasonal

term which represents emissions or atmospheric chemistry which varies seasonally. These processes are generally strong drivers of concentrations of most atmospheric pollutants (Henneman et al., 2015). Random forest’s ability to handle collinearity and interaction between these and the other independent variables used and the lack of need of specialised or exotic inputs results in a flexible tool kit for probing the influences of interventions on air quality time series.

### 1.1. Objectives

The primary objective of this paper is to apply a meteorological normalisation technique based on random forest, a machine learning algorithm to detect interventions in air quality monitoring data. This is done to gain understanding of what physical and chemical processes are driving ambient pollutant concentrations and highlight the suitability and potential of the technique to other applications.

Two case studies are presented using routine data sets in Dover, South East England where sulfur fuel limits of ships were imposed and changes in ambient sulfur dioxide ( $\text{SO}_2$ ) concentrations are expected and in Central London where congestion charging and local bus fleet management has perturbed oxides of nitrogen ( $\text{NO}_x$ ) emission sources. The changes in concentrations and emissions are then explained in respect to implementation of policy which would be difficult to detect with other EDA techniques where no meteorological normalisation is performed.

## 2. Methods

### 2.1. Data

#### 2.1.1. Port of Dover $\text{SO}_2$

Hourly  $\text{SO}_2$  concentrations were analysed from the Port of Dover, a major port located in Kent in the South East of England. Two air quality monitoring sites, Dover Docks and Dover Langdon Cliff’s  $\text{SO}_2$  data were queried from the Kent Air Quality database (Ricardo Energy & Environment, 2018). A nearby meteorological site, Langdon Bay located to the west of the port was used to provide surface meteorological observations and were accessed from

NOAA’s Integrated Surface Database (ISD) (NOAA, 2016) (Figure 1(a)). The monitoring sites had different commissioning and decommissioning dates and neither site is still operating (Table 1). SO<sub>2</sub> observations are available between March 2001 and December 2012. The data capture rates for SO<sub>2</sub> at Dover Langdon Cliff and Dover Docks for their online period were 92 and 82 % respectively. These monitoring sites are of interest because marine fuels in British and European waters have been subject to a series of sulfur content fuel limits. The introduction and continued enforcement of these sulfur fuel limits were expected to influence ambient SO<sub>2</sub> concentrations. The details of these interventions are discussed further in Section 3.1.2.

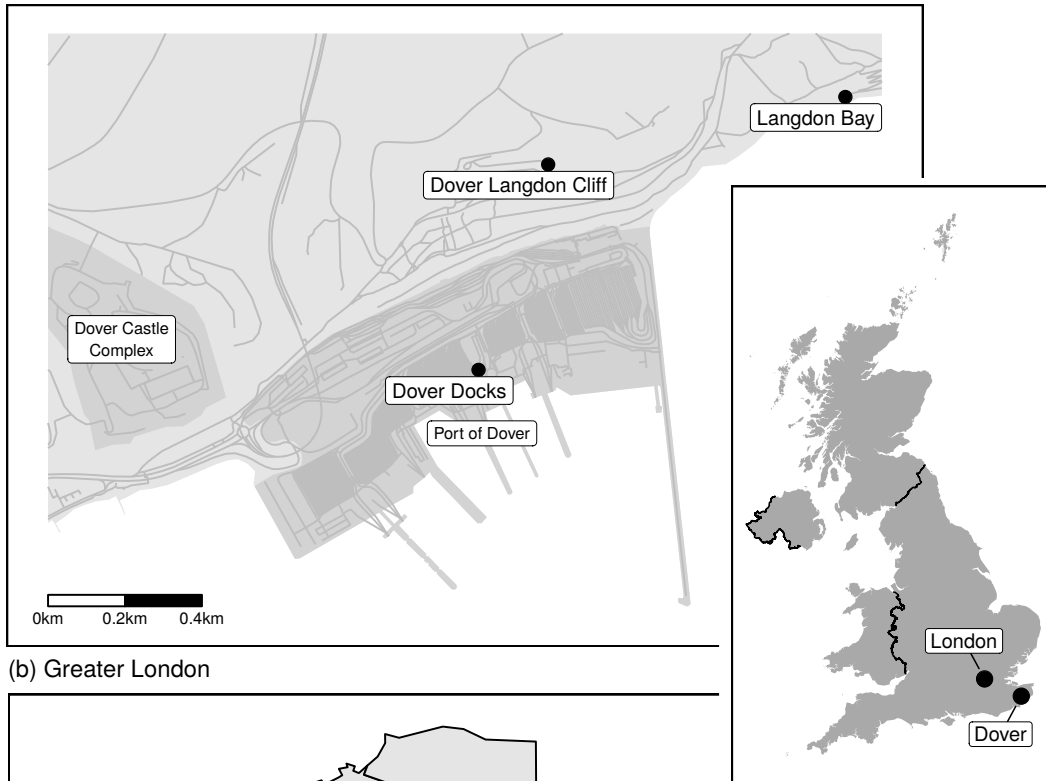
Table 1: Details of the air quality monitoring sites in Dover and London used in this analysis. Sites without end dates are still operational.

Location	Site name	Site type	Latitude	Longitude	Elevation	Date start	Date end
Dover	Langdon Bay	Meteorological	51.133	1.350	117	1973-03-08	
Dover	Dover Langdon Cliff	Urban background	51.132	1.339	98	2001-03-17	2010-03-05
Dover	Dover Docks	Urban industrial	51.127	1.336	6	2006-11-17	2013-01-03
London	London Heathrow	Meteorological	51.478	-0.461	25	1948-12-01	
London	London Marylebone Road	Traffic	51.523	-0.155	35	1997-01-01	

### 2.1.2. London Marylebone Road NO<sub>2</sub> and NO<sub>x</sub>

Hourly NO<sub>2</sub> and NO<sub>x</sub> data from London’s Marylebone Road air quality monitoring site were accessed from **smonitor** Europe, a European database containing the observations and metadata from the AirBase and Air Quality e-Reporting (AQER) repositories (Grange, 2016, 2017). NO<sub>x</sub> concentrations have been monitored since July 1997 and the final year of reporting sourced from the European data repositories used was 2016. Data capture rates for NO<sub>x</sub> and NO<sub>2</sub> for the analysis period were 97 %. London Heathrow, a large airport located at the far west of Greater London was used for surface meteorological observations sourced from NOAA’s ISD (Figure 1(b)). London Marylebone Road is situated in a complicated central urban environment. The site is located one metre south of the kerb on the A501 trunk road and sits within an irregularly shaped street canyon. London Marylebone Road is a prominent and often analysed site due to its long observational record and diverse suite of

(a) Dover



(b) Greater London

Figure 1: Maps of the study sites with a United Kingdom insert for country-scale context. The Port of Dover complex is displayed in (a) and the internal lines indicate roads and Greater London is shown in (b), with the London Boroughs and City of London indicated with internal polygons.



pollutants which are monitored at the site (Jeanjean et al., 2017).

NO<sub>x</sub> and NO<sub>2</sub> concentrations across European cities are a significant issue and many member states are non-compliant to the legal European ambient air quality limits (Weiss et al., 2012; Grange et al., 2017). Almost all locations which are non-compliant are classified as roadside (or ‘traffic-influenced’) (European Environment Agency, 2016). London has some of the highest roadside concentrations of NO<sub>x</sub> and NO<sub>2</sub> in Europe and London Marylebone Road (Figure 1(b)) is an often referenced monitoring site for its high concentrations.

To combat the issue of traffic congestion, Greater London authorities imposed the Congestion Charge Zone (CCZ), which was first enforced in February 2003 (Atkinson et al., 2009). Since that time, the London Low Emission Zone (LEZ), and the Emissions Surcharge (better known as the T-Charge) have also been implemented to combat air pollution (Transport for London, 2018). The details and start dates of these various measures are displayed in Table 2. All these interventions are significant investments with large amounts of planning and resources to execute and maintain.

Table 2: Details of interventions within Greater London to counter traffic congestion.

Name	Abbreviation	Start date	Area covered	Operation
Congestion Charge Zone	CCZ	2003-02-17	Central London	07:00–18:00 Mo-Fr
London Low Emission Zone (first phase)	LEZ	2008-02-04	Greater London	24/7
London Low Emission Zone (second phase)	LEZ	2012-01-03	Greater London	24/7
Emissions Surcharge	T-Charge	2017-10-23	Central London	07:00–18:00 Mo-Fr
Ultra Low Emission Zone (planned)	ULEZ	2019-04-08	Central London	24/7

## 2.2. Modelling and the hyperparameters

For both examples, the meteorological normalisation procedure was conducted in the same way and the **rmweather** R package (version 0.1.2) was used for this process (R Core Team, 2018; Grange, 2018). The number of trees for the random forest models was fixed at 300, the minimal node size was five, and the number of variables split at each node was the default for regression mode: the rounded down square root of the number of independent variables which in these examples was three (**rmweather**’s function arguments **n\_trees**,

173 `min_node_size`, and `mtry` respectively). The independent variables used were: Unix date  
174 (number of seconds since 1970-01-01) as the trend term, Julian day as the seasonal term,  
175 weekday, hour of day, air temperature, relative humidity, wind direction, wind speed, and  
176 atmospheric pressure. Training was only conducted on observations which had non-missing  
177 wind speed and the pollutant being modelled. Three hundred predictions were used to  
178 calculate the meteorologically normalised trend. The normalised trends were aggregated  
179 to monthly resolution for presentation in Section 3. A conceptual representation of the  
180 meteorological normalisation processes is displayed in Figure A1.

181 For the Dover SO<sub>2</sub> examples, models were calculated using the full observational set, but  
182 after investigating the models (discussed in Section 3.1.1), the observations were filtered to  
183 wind directions which were sourced from the port and these models are the ones which were  
184 used for the time series analysis (Section 3.1.2). For observations at London Marylebone  
185 Road, no filtering was undertaken. In the case of London Marylebone Road, there are a large  
186 number of potential events which could influence pollutant concentrations and emissions.  
187 To objectively identify events, the meteorologically normalised time series were tested for  
188 breakpoints or changes in structure. The structural change algorithm is described in Zeileis  
189 et al. (2002); Zeileis et al. (2003) and was implemented with the **strucchange** R package.

190 The random forest algorithm does not directly offer the ability to determine error or  
191 uncertainty of estimates. However, uncertainty is important to consider in many situations.  
192 To enable uncertainty to be evaluated for the case studies, 50 random forest models were  
193 grown for each example with the hyperparameters described above, but with randomly  
194 sampled (bootstrapped) input sets. The bootstrapping of the observational data ensured  
195 the models were grown on different training sets. The importance values (a measure of the  
196 variables' strength or influence on prediction), partial dependencies, and predictions for each  
197 of the 50 models were then summarised. The summaries used from the "ensemble of the  
198 ensembles" were the mean, and the 2.5 % and 97.5 % quantiles of the 50 estimates *i.e.* a  
199 range that spans the 95 % confidence interval in the mean. The model performance statistics  
200 for the four sets of models are displayed in Table 3.

Table 3: Mean random forest model performance statistics for the four sets of models grown for the analysis.

Location	Model	$n$	$R^2$
Dover	Dover Docks SO <sub>2</sub>	34224	0.67
Dover	Dover Langdon Cliff SO <sub>2</sub>	53535	0.63
London	London Marylebone Road NO <sub>2</sub>	131677	0.82
London	London Marylebone Road NO <sub>x</sub>	131677	0.83

### 3. Results and discussion

#### 3.1. Port of Dover SO<sub>2</sub>

##### 3.1.1. Models

The random forest models grown for SO<sub>2</sub> at the two Dover sites had  $R^2$  values of 63 and 67 % (Table 3), therefore, the models had moderate explanatory ability for Dover's SO<sub>2</sub> concentrations. However, it should be noted that predicting concentrations over such short time periods with intermittent source strength is challenging and data capture was less than ideal for these monitoring sites. The moderate performance can be explained by SO<sub>2</sub> at this location containing large amounts of variation due to ship movements and if winds were in a favourable direction to transport emissions from the port complex to the monitoring sites (southerlies). Indeed, wind direction was the most important variable for SO<sub>2</sub> explanation for the random forest models (Figure 2).

Partial dependence plots of decision tree models allow the learning process to be interpreted and a data user to examine how variables are being handled in the predictive model. Figure 3 demonstrates a two-way partial dependence plot for SO<sub>2</sub> concentrations at Dover Landon Cliff using wind direction and date (the trend term) as the independent variables. The feature which is most clear is the band of increased SO<sub>2</sub> dependence between 150 and 210 degrees. Outside of this band of southerly winds, there were low levels of dependence on SO<sub>2</sub> concentrations. The Dover Landon Cliff monitoring site was located north of the Port of Dover docks and very slightly to the east (Figure 1(a)). The partial dependence on wind direction is consistent with this location and indicates that wind direction was

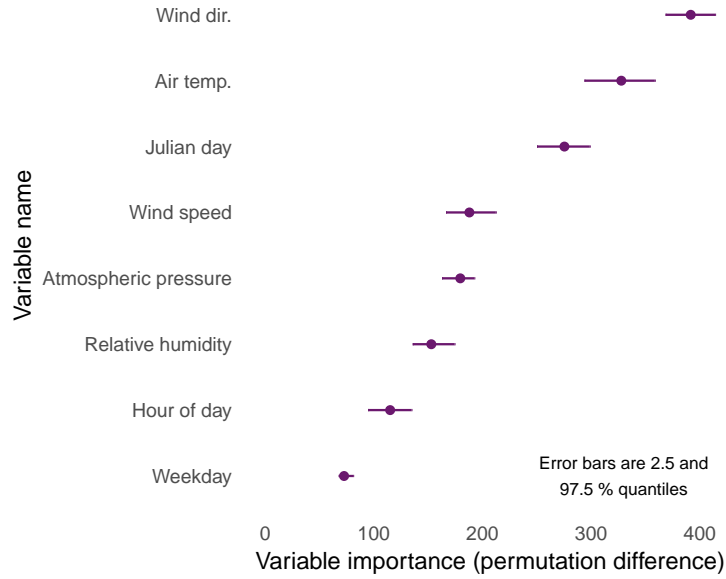


Figure 2: Variable importance plot for SO<sub>2</sub> at Dover Langdon Cliff between 2001 and 2010 calculated by 50 random forest models.

handled sensibly in the random forest model. This observation can be confirmed further with a bivariate polar plot of mean SO<sub>2</sub> concentrations by wind direction and speed at the monitoring site (Figure 4). The first sulfur content fuel change in mid-August 2006 can also be seen in the two-way partial dependence plot as a clear reduction in SO<sub>2</sub> dependence when winds were sourced from the port (the south; discussed further in Section 3.1.2; Figure 3).

Another clear feature isolated by the partial dependence plots was that SO<sub>2</sub> concentrations increased with increasing air temperature at the Dover monitoring sites (Figure 5). This relationship was an unexpected outcome because generally, pollutant concentrations are inversely related to air temperature because emissions are more efficiently diluted during warmer periods owing to increased thermal turbulence. For some sources such as heating, emissions are greater at lower temperatures, but when considering shipping emissions, this would be negligible. At Dover, the SO<sub>2</sub> relationship between concentrations and air temperatures was indicative of convective thermal mixing being an important physical process which resulted in SO<sub>2</sub> emitted by ships to be mixed towards the measurement site at the cliff top. This turbulent mixing at high temperatures resulted in high SO<sub>2</sub> concentrations at

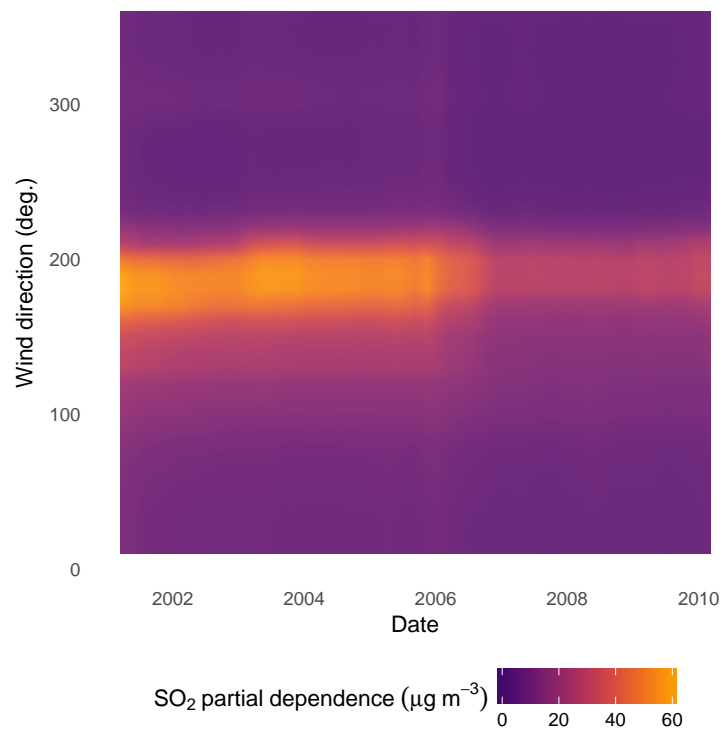


Figure 3: Partial dependence of wind direction and date on  $\text{SO}_2$  concentrations at Dover Landon Cliff between 2001 and 2010. The Dover Landon Cliff monitoring site was located north of the Port of Dover (Figure 1(a)).

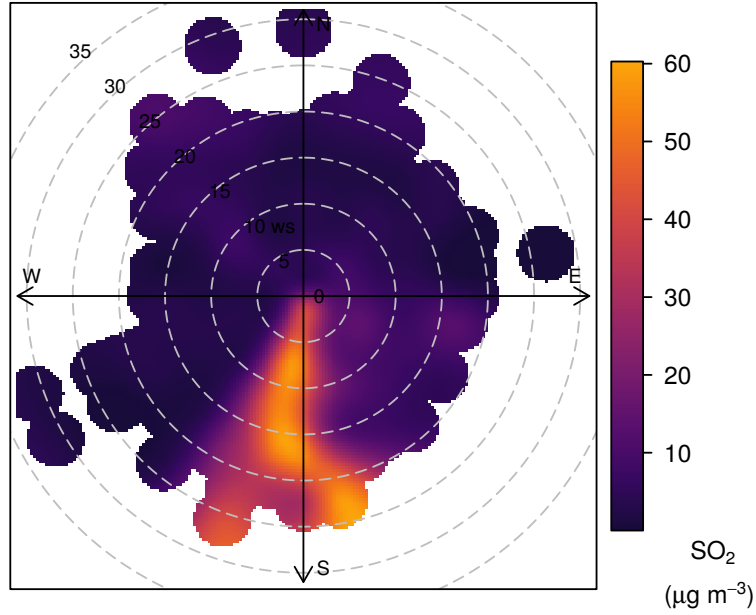


Figure 4: Bivariate polar plot of mean hourly  $\text{SO}_2$  concentrations at Dover Landon Cliff between 2001 and 2010. The Dover Landon Cliff monitoring site was located north of the Port of Dover (for a location map, see Figure 1(a)).

the surface and this feature cannot be easily observed in the hourly observational data. The illumination of such physical processes is a major advantage of the random forest algorithm compared to other machine learning methods such as support vector machines (SVM) or artificial neural networks (ANNs) because they do not offer the same amount of model legibility.

### 3.1.2. Influence of sulfur fuel limits on $\text{SO}_2$ concentrations

Since the early 2000s, there has been a number of increasingly stringent sulfur based fuel limits imposed on ships operating in British and European Union (EU) waters due to their status as Sulfur Emission Control Areas (SECAs) or Emission Control Areas (ECAs). The most important events for sulfur control were implemented on August 11, 2006 and January 1, 2010. In August 2006, the MARPOL Annex IV regulations were applied which introduced a 1.5 % sulfur limit on fuel oils used by vessels moving between EU ports ([International Maritime Organization, 2005](#)). The pre-August 2006 sulfur content for British vessels has been estimated at 2.7 % which represents a reduction in sulfur content of 44 % ([Entec, 2010](#)).

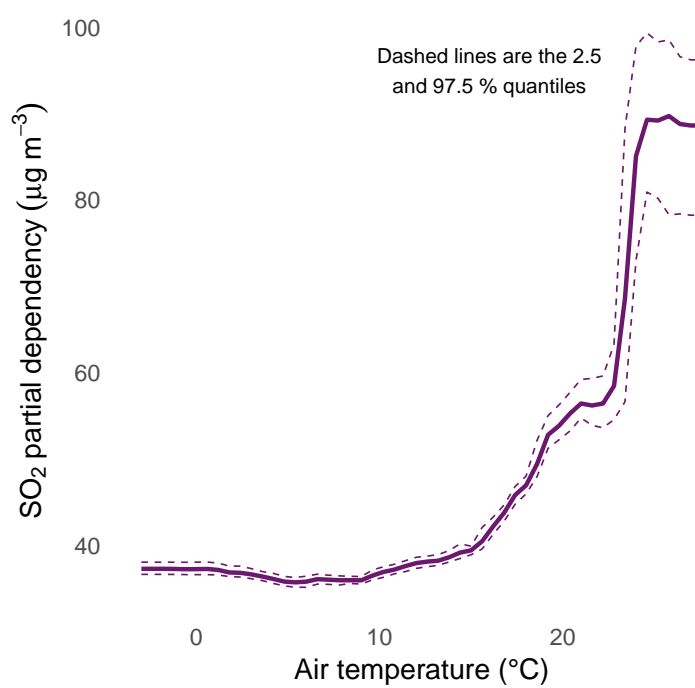


Figure 5: Partial dependence of SO<sub>2</sub> on air temperature at Dover Landon Cliff between 2001 and 2010 calculated by 50 random forest models.

At the start of 2010 an additional limit was imposed for all vessels at berth where such vessels were required to be operated with maximum fuel sulfur content of 1 %. These changes should be evident in the SO<sub>2</sub> time series of the nearby ambient monitoring sites. However, if a time series is plotted, the influence of these changes are subtle and not clear due to the high amounts of variation within SO<sub>2</sub> concentrations (Figure 6).

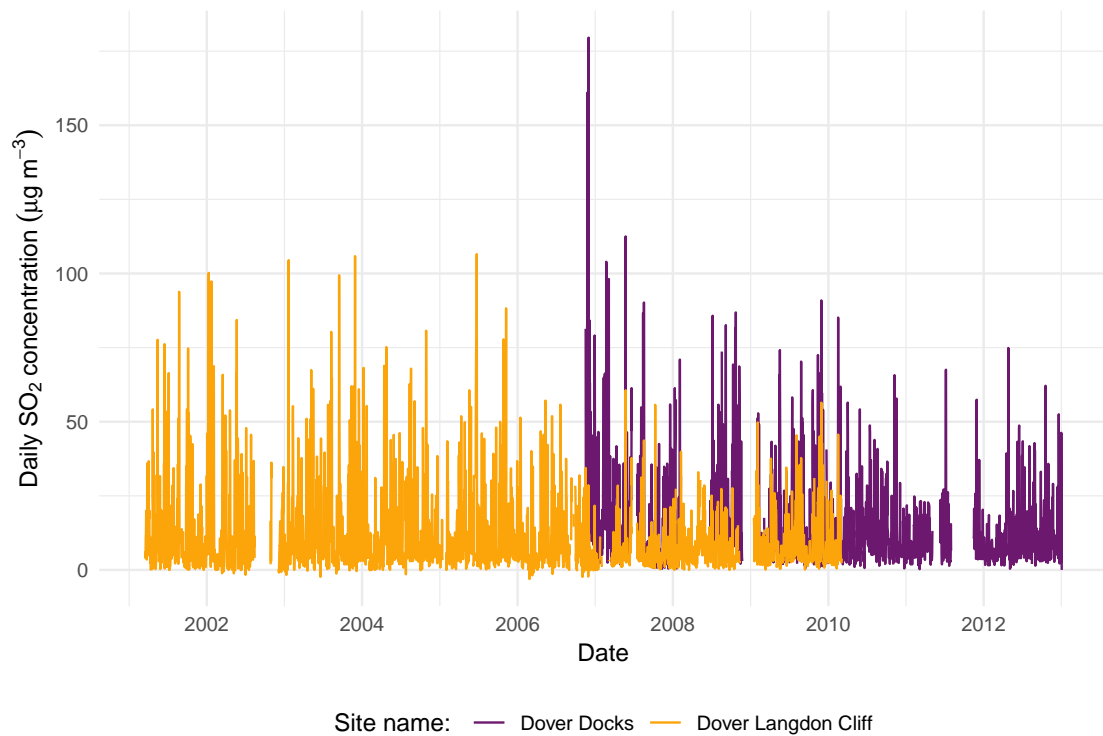


Figure 6: Daily SO<sub>2</sub> concentrations at two monitoring sites in Dover between 2001 and 2012.

The meteorologically normalised SO<sub>2</sub> time series for the Dover sites are displayed in Figure 7, after the observations were filtered to wind directions which came for the port, hence the tight 95 % confidence intervals. The dates when changes in sulfur fuel content were implemented are displayed as vertical lines in Figure 7 and the influence of sulfur fuel changes are clear (compared with Figure 6).

At Dover Langdon Cliff, the monitoring site which was online during the MARPOL 1.5 % fuel sulfur limit transition during August 2001 shows the shift in ambient SO<sub>2</sub> very clearly (Figure 7). The mean meteorologically normalised SO<sub>2</sub> concentrations for the pre- and



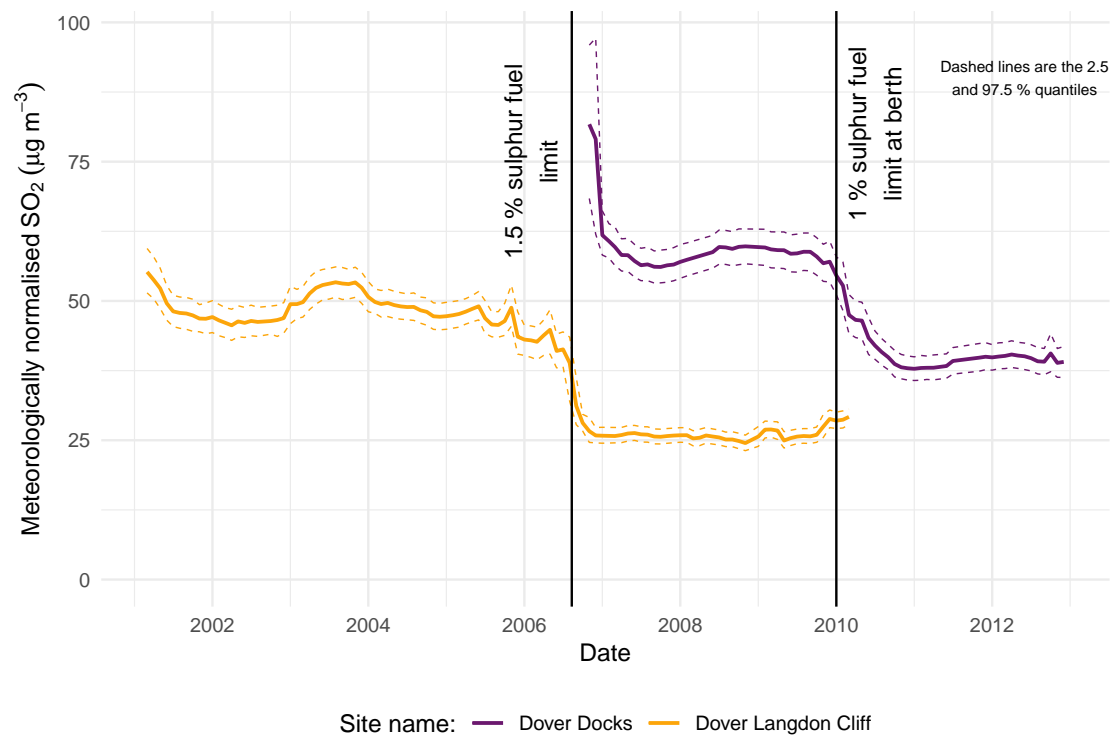


Figure 7: Meteorologically normalised SO<sub>2</sub> concentrations at two monitoring sites in Dover between 2001 and 2012 as calculated by 50 random forest models. The vertical lines show the start dates of when changes in marine sulfur fuel content were implemented.

post-fuel change periods were 48 and 26  $\mu\text{g m}^{-3}$  respectively. This difference represented in percentage change is 45 % and the corresponding estimated change in sulfur fuel content was 44 %. This extremely good agreement between sulfur content fuel changes and normalised ambient  $\text{SO}_2$  concentrations suggests that the Port of Dover activities and ship movements remained constant during the transition phase and the source of  $\text{SO}_2$  at this location was almost exclusively from the port.

The second sulfur fuel content change was implemented on January 1, 2010 and this intervention is also clearly displayed in the meteorologically normalised  $\text{SO}_2$  concentrations of the Dover Docks monitoring site (Figure 7). The percentage change in fuel sulfur content was 33 % and the percentage change in ambient  $\text{SO}_2$  concentrations was 32 %. Like the previous intervention, these two percentage changes match almost exactly, which is somewhat surprising because the intervention was applied only to berthed vessels which would only make up a component of the Port of Dover activities.

### 3.2. London Marylebone Road $\text{NO}_x$

#### 3.2.1. Models

The random forest models of  $\text{NO}_x$  and  $\text{NO}_2$  at London Marylebone Road performed well and had  $R^2$  values of 82 and 83 % respectively (Table 3). This good performance can be explained by hour of day being a very good predictor for traffic flows and therefore emissions at this location for these (mostly) traffic-sourced pollutants (Figure 8). The performance of the random forest models would be rather difficult to achieve with dispersion or deterministic models in such a complicated location. For example, the dispersion models evaluated in Carslaw et al. (2013) struggled to represent the street canyon environment, even when traffic information was taken into account. The importance plots for the London Marylebone Road models also show that wind direction is the most important variable to predict  $\text{NO}_2$  and  $\text{NO}_x$  concentrations. London Marylebone Road is located in a street canyon and is subjected to complex flows, including ventilation, vortices, and leeward accumulation of pollutants, (primarily) dependent on wind direction (Carslaw and Carslaw, 2007; Catalano et al., 2016). This complexity is demonstrated in the importance of wind direction in explaining  $\text{NO}_x$  and

292 NO<sub>2</sub> concentrations (Figure 8) and this has been noted before at this location ([Charron and](#)  
 293 [Harrison, 2005](#); [Westmoreland et al., 2007](#)).

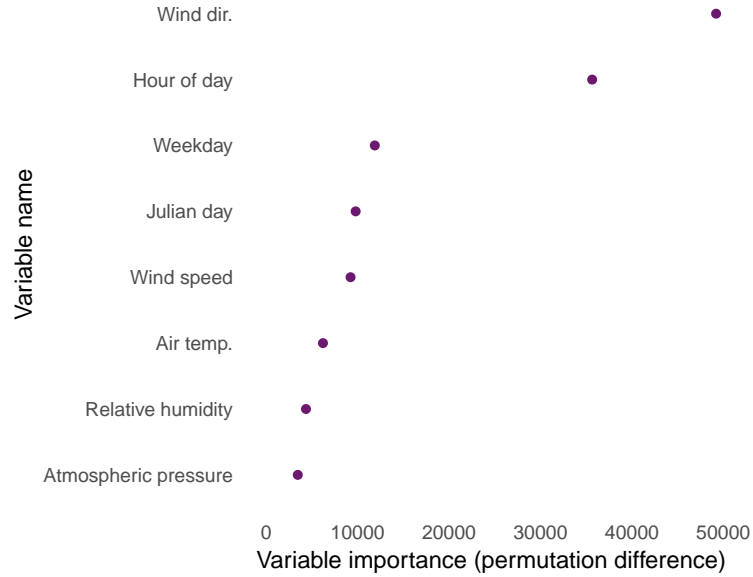


Figure 8: Variable importance plot for 50 NO<sub>2</sub> random forest models for London Marylebone Road. The uncertainty among the importances of the 50 models was very small and therefore the quantiles are not shown. The importances for the NO<sub>x</sub> models were very similar.

### 294 3.2.2. Changes in primary NO<sub>2</sub>

295 Using the predictive models for meteorological normalisation results in very clear and  
 296 almost noiseless meteorologically normalised trends shown in Figure 9. It is immediately  
 297 clear that NO<sub>x</sub> and NO<sub>2</sub> are not behaving the same way at this monitoring location. This is  
 298 because of changes in vehicular primary (directly emitted) NO<sub>2</sub> during the analysis period  
 299 (1997–2016) ([Carslaw, 2005](#); [Carslaw et al., 2016](#); [Grange et al., 2017](#)). The vertical lines on  
 300 Figure 9 show the breakpoints identified by structural change analysis after the meteorological  
 301 normalisation procedure.

302 NO<sub>x</sub> concentrations decreased after the introduction of a bus lane adjacent to the  
 303 monitoring site in 2001 but have remained near constant since the introduction of the CCZ in  
 304 February 2003 (Figure 9 and Table 2). Despite the progressively stringent vehicular emission

controls being applied across Europe between 2003 and 2016 (the last year of data in analysis), they have had little effect to  $\text{NO}_x$  at London Marylebone Road. This observation could be, at least partly, explained by the disconnect between laboratory testing and real-world emissions of  $\text{NO}_x$  which become a public issue after the diesel emission scandal in September 2015 (Brand, 2016; Schmidt, 2016). However, heavy duty vehicles are also very important to consider alongside passenger vehicles at this Central London location (Laybourn-Langton et al., 2016; Greater London Authority, 2017).

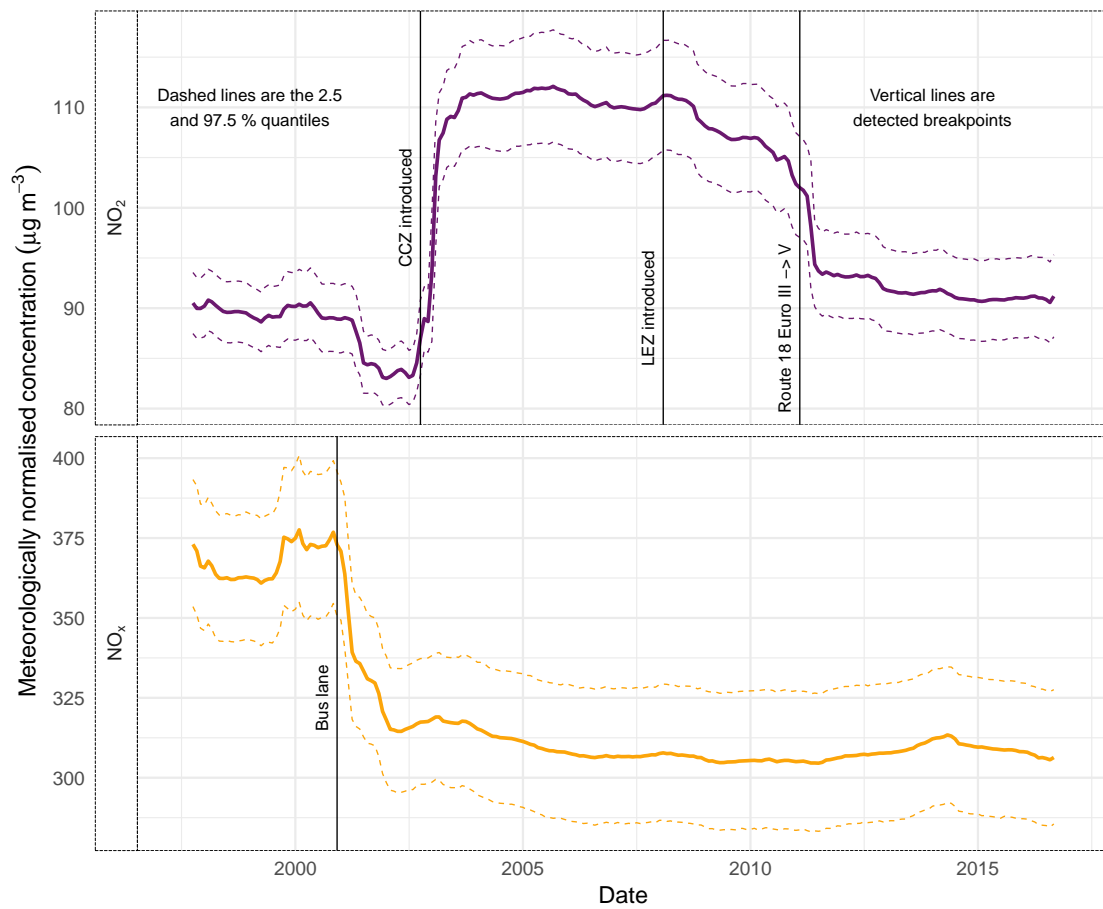


Figure 9: Meteorologically normalised  $\text{NO}_x$  and  $\text{NO}_2$  at London Marylebone Road between 1997 and 2016 as calculated by 50 random forest models (for each pollutant). The vertical lines on show the breakpoints identified by structural change analysis.

$\text{NO}_2$  concentrations at London Marylebone Road have increased since 1997 and were at their maximum between 2002 and 2008 (Figure 9). The changes observed can be explained

by changes to the vehicle fleet using the adjacent A501 road resulting from the introduction of congestion charging, London’s Low Emission Zone, and evolution of the local bus fleet. The rapid increase of  $\text{NO}_2$  concentrations was observed in the meteorologically normalised time series between July 2002 and July 2003 (Figure 9). The CCZ was introduced in mid-February 2002; right in the middle of the period of increasing  $\text{NO}_2$  and within six months of the suggested breakpoint (October 2012). The increase in  $\text{NO}_2$  concentrations was due to increased primary  $\text{NO}_2$  because no change in the meteorologically normalised  $\text{NO}_x$  was observed at the same time.

The implementation of the CCZ was accompanied with a retrofitting programme of Euro III local buses with continuously regenerating diesel particulate filters (CRDPF, also known by their commercial name: CRT filters). CRDPF are passive devices and have two components: an upstream oxidation catalyst and a particulate matter (PM) filter. The oxidation catalyst oxidises NO within the exhaust stream to  $\text{NO}_2$  and this  $\text{NO}_2$  is then used as a PM oxidant in the filter-proper. The observations show that these retrofitted passive devices were not optimised because much of the generated  $\text{NO}_2$  was not reduced within the PM filter and was therefore emitted into the roadside atmosphere and thus significantly increased ambient  $\text{NO}_2$  concentrations (Figure 9).

$\text{NO}_2$  concentrations remained approximately stable until February 2008 when London’s Low Emission Zone (LEZ) was introduced and  $\text{NO}_2$  concentrations began to decrease (Figure 9). The second  $\text{NO}_2$  breakpoint was detected for February 2008 giving some evidence that the LEZ reduced  $\text{NO}_2$  concentrations at London Marylebone Road (although no corresponding change in  $\text{NO}_x$  was observed). However, during this period the local bus fleets were also being progressively replaced with newer buses compliant to the later Euro IV, V, and VI heavy vehicle emission standards (Finn Coyle, Tom Cunningham, and Gabrielle Bowden (Transport for London), personal communication, March 2018) as well of natural vehicle turnover removing older and more polluting vehicles from the in-service fleet. The third  $\text{NO}_2$  breakpoint identified coincided with route 18, the bus route with the highest peak vehicle requirements (PVR), shifting from Euro III to Euro V vehicles in late 2010 (Figure 9). After 2011,  $\text{NO}_2$  concentrations continued to decline with the introduction of Euro VI and hybrid

buses servicing the 453, 27, and 205 routes. By the end of 2016, NO<sub>2</sub> had declined to almost pre-CCZ concentrations. The features displayed in the normalised time series were not clear in the raw concentration data (displayed in Figure A2) and the breakpoints identified were unable to be resolved without the meteorological normalisation technique.

The tandem use of the meteorological normalisation procedure and breakpoint analysis is powerful and can reveal many changes, but in many cases there may not be sufficient information or metadata to help explain the changes observed. In this Central London example, many of the factors driving pollutant concentrations are known due to the site's prominence.

London Marylebone Road also monitors ozone (O<sub>3</sub>), something which is rare for roadside monitoring locations in Europe. The NO<sub>2</sub>, NO<sub>x</sub>, and O<sub>3</sub> complement allows for the estimation of primary NO<sub>2</sub> with an independent method by determining the total oxidant (OX; NO<sub>2</sub> + O<sub>3</sub>) within NO<sub>x</sub> (Jenkin, 2004; Carslaw and Beevers, 2005). Figure 10 shows monthly estimates of the primary NO<sub>2</sub> fraction at London Marylebone Road with robust linear regression. Figure 10 is consistent with Figure 9 with a rapid increase in primary NO<sub>2</sub> during 2002 and a reduction, but at a slower rate after 2008 thus further confirming and validating that the trends observed in Figure 9 are driven by changes in primary NO<sub>2</sub> emissions. The reason why the trend is similar in Figure 10 and Figure 9 is that at this particular site increased emissions of primary NO<sub>2</sub> were sufficient to have a measurable effect on ambient concentrations.

## 4. Conclusions

Controlling for changes of meteorology is an important component to consider when conducting air quality data analysis over time. A meteorological normalisation technique using random forest was used to investigate interventions in routine air quality monitoring data from two areas. The interventions applied to marine fuel content changes were explored in Dover, a port city in the South East of England and the interventions were represented in the meteorologically normalised time series almost exactly. The non-black box nature of the random forest models was used to investigate the dependence of pollutant concentrations

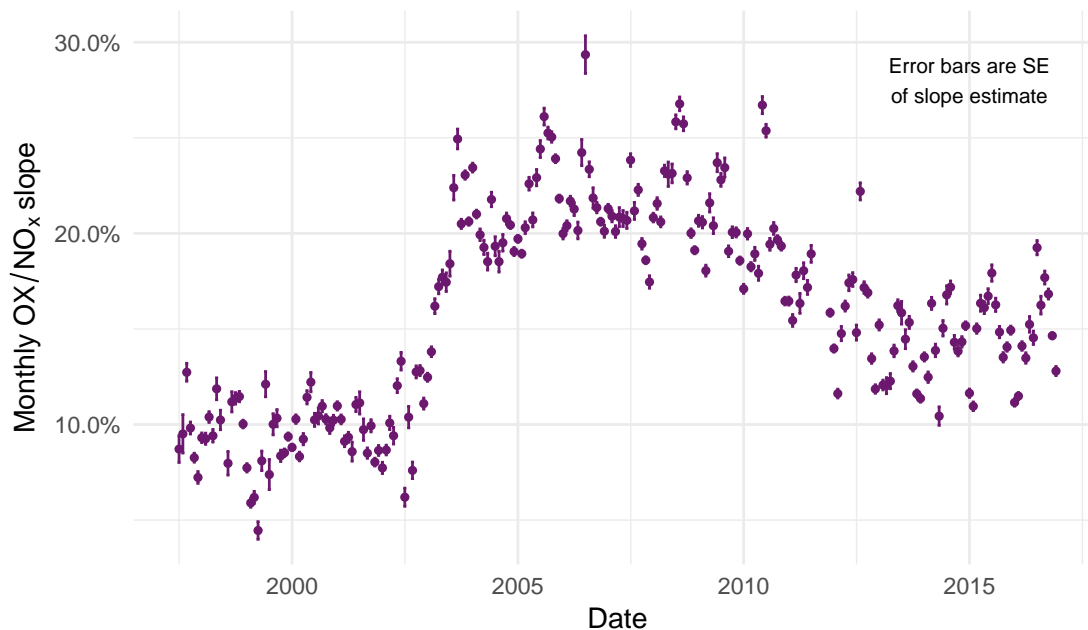


Figure 10: Monthly total oxidant (OX;  $\text{NO}_2 + \text{O}_3$ ) at London Marylebone Road between 1997 and 2016. Slope and errors were calculated with robust linear regression.

on meteorological variables such as air temperature and wind direction which highlighted the benefit of the technique where physical and chemical atmospheric processes can be illuminated, understood, and explained.

In the example of the implementation of congestion charging in Central London, very clear changes in primary  $\text{NO}_2$  emissions were displayed in the meteorologically normalised time series. The performance of these roadside models was high due to the models' ability to use wind direction and hour of day very effectively, something which dispersion or deterministic models struggle with when used for modelling street canyon environments. The case studies presented are both examples where there is significant ability to cross check the observed features with available information on changes in the sites' local environments to validate the outputs.

The meteorological normalisation technique is very relevant for exploring the influence of interventions or management activities on local air quality. The combination of a non-parametric method, the lack of need for specialised measurements, and the effective use of

proxy variables lends the technique to a wide range of air quality data analysis applications.

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## Competing interests

The authors declare no competing interest.

## Highlights

- Detecting the influence of air quality interventions is important
- Changes in meteorology over time complicates air quality intervention analysis
- Meteorological normalisation was applied in two locations to explore interventions
- The changes detected in the normalised time series were associated to interventions
- The non-black-box nature of the procedure allows for interpretation of results

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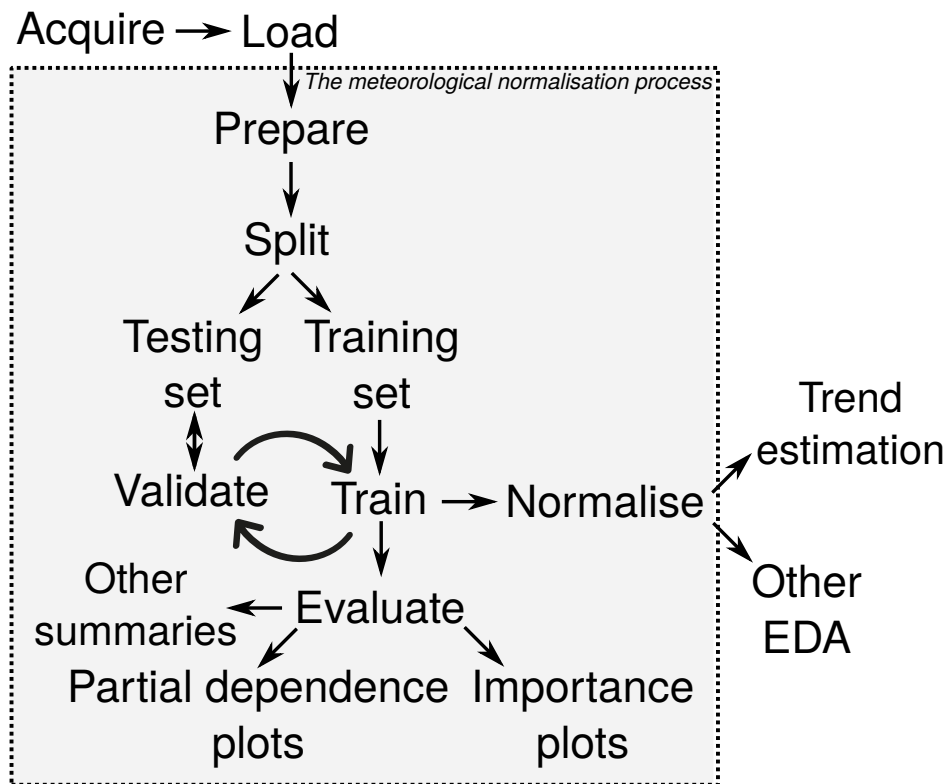


Figure A1: The framework for the meteorological normalisation technique. The training and validation phase is iterative to ensure the model does not overfit and adequate performance is achieved. After the technique has been completed, other analyses are conducted on the normalised time series.

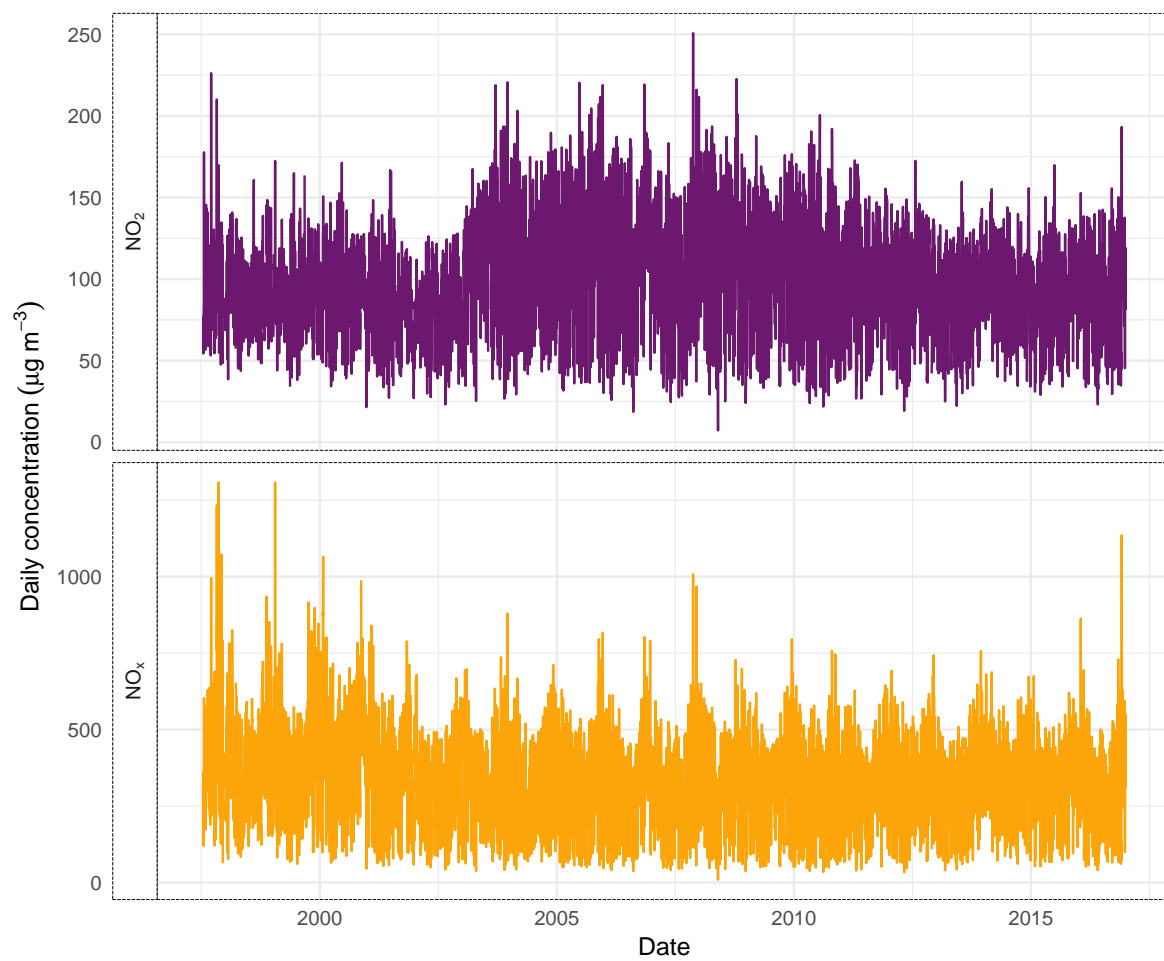


Figure A2: Daily  $\text{NO}_2$  and  $\text{NO}_x$  concentrations at London Marylebone Road between 1997 and 2016.

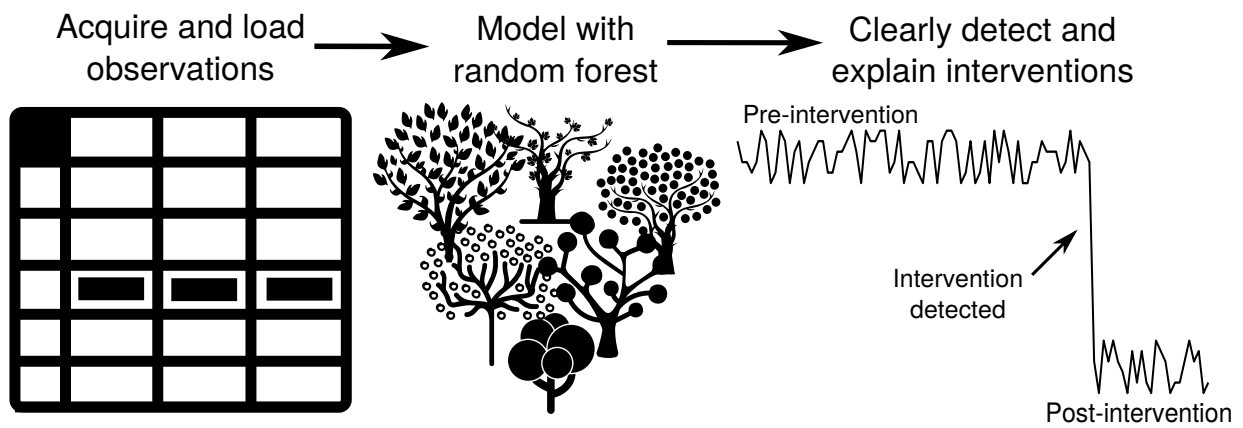


Figure A3: Graphical abstract. Icons designed by [freepik.com](https://www.freepik.com) from Flaticon.